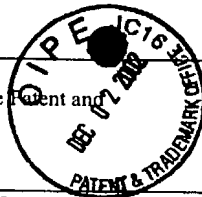


FORM PTO-1449

U.S. Department of Commerce Patent and
Trademark OfficeDocket No.
STEM1170-1Serial No.:
09/961,227

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTFiling Date:
September 20, 2001Group Art Unit:
4615 1636

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
PV	AA	4,742,003	05/03/1988	Derynck and Goeddel			
	AB	4,749,683	06/07/1988	Murphy and Rhodes			
	AC	4,863,899	09/05/1989	George J. Todaro			
	AD	4,863,902	09/05/1989	Amagase et al			
	AE	4,874,746	10/17/1989	Antoniades and Lynch			
	AF	5,102,870	04/7/1992	Florine and Smith			
	AG	5,229,493	07/20/1993	Folkman et al.			
	AH	5,328,986	07/12/1994	Folkman and Shing			
	AI	5,750,376	05/12/1998	Weiss et al.			
	AJ	5,814,308	09/29/1998	Ke Zhang			
	AK	5,851,832	12/22/1998	Weiss et al.			
	AL	5,885,956	03/23/1999	Nardi and Brand			
	AM	5,886,141	03/23/1999	Folkman et al.			
	AN	5,902,799	05/11/1999	Herrmann et al.			
	AO	5,980,885	11/09/1999	Weiss and Reynolds			
BV	AP	5,981,165	11/09/1999	Weiss and Reynolds			

EXAMINER

Pm 14

DATE CONSIDERED

12/12/07

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary/PT6323061.1
105265-13

1

RECEIVED

DEC 03 2002

TECH CENTER 1600/2900

FORM PTO-1449

U.S. Department of Commerce Patent and
Trademark OfficeDocket No.
STEM1170-1Serial No.:
09/961,227

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTFiling Date:
September 20, 2001Group Art Unit:
16151635

BW	AQ	6,011,004	01/04/2000	Kessler and Apfel			
	AR	6,013,762	01/11/2000	Folkman et al.			
	AS	6,071,889	06/06/2000	Weiss et al.			
	AT	6,114,307	09/05/2000	Jaspers et al.			
	AU	6,232,288 B1	05/15/2001	Itaru Kojima			
	AV	6,288,301 B1	09/11/2001	Nardi and Brand			
DL	AW	6,326,201 B1	12/04/2001	Fung et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
BW	AX	WO 84/01106	03/29/1984	PCT WIPO			
	AY	0 154 434 A1	09/11/1985	EP			
	AZ	WO 94/16718	08/04/1994	PCT WIPO			
	BA	WO 98/22127	05/28/1998	PCT			
	BB	WO 99/06060	02/11/1999	PCT			
BW	BC	WO 00/44400	08/03/2000	PCT WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

BW	BD	Blikslager, Anthony T. et al., "Glutamine and Transforming Growth Factor- α Stimulate Extracellular Regulated Kinases and Enhance Recovery of Villous Surface Area In Porcine Ischemic-Injured Intestine," <i>Surgery</i> , Vol. 125, No. 2, February 1999, pgs. 186-194.
BW	BE	Blikslager, A.T. et al., "Glutamine and Transforming Growth Factor Alpha Enhance Repair of Intestinal Ischemia/Reperfusion Injury," <i>Supplement to Gastroenterology</i> , Vol. 110, No. 4, April 1996, Abstract pg. A313.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary/IGT/6323061.1
105265-13

2

RECEIVED

DEC 10 2002

TECH CENTER 1600/2900

FORM PTO-1449

U.S. Department of Commerce Patent and
Trademark OfficeDocket No.
STEM1170-1Serial No.:
09/961,227

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTFiling Date:
September 20, 2001Group Art Unit:
1615 1675

BL	BF	Brand, Stephan A. et al., "Prolonged Efficacy of Islet Neogenesis Therapy with Gastrin and TGF α In Mature Rats with Preexisting Diabetes," <i>Diabetes</i> , Vol. 50 (Supplement), 2001, pg. A338.5630.
	BG	Burgel, Pierre-Regis et al., "Human Eosinophils Induce Mucin Production In Airway Epithelial Cells Via Epidermal Growth Factor Receptor Activation," <i>The Journal of Immunology</i> , 2001, pgs. 5948-5954.
	BH	Carpenter, Graham et al., "Antibodies to the Epidermal Growth Factor Receptor Block the Biological Activities of Sarcoma Growth Factor," <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 80, September 1983, pgs. 5627-
	BI	Chamberlin, Stephen G. et al., "Constrained Peptide Analogues of Transforming Growth Factor- α Residues Cysteine 21-32 Are Mitogenically Active," <i>The Journal of Biological Chemistry</i> , Vol. 270, No. 36, September 8, 1995, pgs. 21062-21067.
	BJ	Chosidow, O. et al., "Tripe Palms Associated With Systemic Mastocytosis: The Role of Transforming Growth Factor- α and Efficacy of Interferon-Alfa," <i>British Journal of Dermatology</i> , Vol. 138, 1998, pgs. 698-703.
	BK	Chou and Fasman, "Prediction of Protein Conformation," <i>Biochemistry</i> , Vol. 13, No. 2, 1974, pgs. 222-245.
	BL	Coffey, Robert J. et al., "Transforming Growth Factors and Related Peptides in Gastrointestinal Neoplasia," <i>Journal of Cellular Biochemistry</i> , Supplement 16G, 1992, pgs. 111-118.
	BM	Dayhoff, M.O. et al., "A Model of Evolutionary Change in Proteins," <i>Atlas of Protein Sequence and Structure</i> , 1972, pgs. 89-99.
	BN	De Larco, Joseph E. et al., "Sarcoma Growth Factor from Mouse Sarcoma Virus-Transformed Cells," <i>The Journal of Biological Chemistry</i> , Vol. 255, No. 8, April 25, 1980, pgs. 3685-3690.
	BO	Draoui, Muriel et al., "TGF α -PE40 Inhibits Non-Small Cell Lung Cancer Growth," <i>Life Sciences</i> , Vol. 54, No. 7, 1994, pgs. 445-453.
BL	BP	Dunbar and Goddard, "Structure-function and Biological Role of Betacellulin," <i>The International Journal of Biochemistry & Cell Biology</i> , Vol. 32, 2000, pgs. 805-815.

EXAMINER

D. A. H.

DATE CONSIDERED

12/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary/6323061.1
105265-13

3

RECEIVED

DEC 08 2002

TECH CENTER 1600/2900

FORM PTO-1449

U.S. Department of Commerce Patent and
Trademark OfficeDocket No.
STEM1170-1Serial No.:
09/961,227

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTFiling Date:
September 20, 2001Group Art Unit:
+615 1635

BV	BQ	Ebadi, M. et al., "Neurotrophins and Their Receptors In Nerve Injury and Repair," <i>Neurochem. Int.</i> , Vol. 30, Nos. 4/5, 1997, pgs. 347-374.
	BR	Egger, B. "Reduced Susceptibility of Mice Overexpressing Transforming Growth Factor α To Dextran Sodium Sulphate Induced Colitis," <i>Gut</i> , Vol. 43, 1998, pgs. 64-70.
	BS	Faber-Elman, A. et al., "Involvement of Wound-Associated Factors In Rat Brain Astrocyte Migratory Response to Axonal Injury In Vitro Simulation," <i>J. Clin. Invest.</i> , Vol. 97, No. 1, January 1996, pgs. 162-171.
	BT	Ferrar, Isidre et al., "Transforming Growth Factor- α (TGF- α) and Epidermal Growth Factor-Receptor (EGF-R) Immunoreactivity In Normal and Pathologic Brain," <i>Progress In Neurobiology</i> , Vol. 49, 1996, pgs. 99-123.
	BU	Ghielli, Manuela et al., "Regeneration Processes In the Kidney After Acute Injury: Role of Infiltrating Cells," <i>Exp. Nephrol.</i> , Vol. 6, 1998, pgs. 502-507.
	BV	Hardie, William D. et al., "Dose-Dependent Lung Remodeling In Transgenic Mice Expressing Transforming Growth factor- α ," <i>Am. J. Physiol. Lung Cell. Mol. Physiol.</i> , Vol. 281, 2001, pgs. L1088-L1094.
	BW	Hardie, William D. et al., "Attenuation of Acute Lung Injury In Transgenic Mice Expressing Human Transforming Growth factor- α ," <i>Am. J. Physiol.</i> , Vol. 277, 1999, pgs. L1045-L1050.
	BX	Heidenkummer and Kampik, "Immunohistochemical Localization of Epidermal Growth Factor Receptor In A Human Epiretinal Membrane," <i>Graefe's Arch. Chn. Exp. Ophthalmol.</i> , Vol. 229, 1991, pgs. 492-496.
	BY	Ibbotson, K.J. et al., "Tumor-Derived Growth Factor Increases Bone Resorption in A Tumor Associated with Humoral Hypercalcemia of Malignancy," <i>Science</i> , Vol. 221, pgs. 1292-1294.
BV	BZ	Jackowski, Andre, "Neural Injury Repair: Hope For the Future As Barriers to Effective CNS Regeneration Become Clearer," <i>British Journal of Neurosurgery</i> , Vol. 9, 1995, pgs. 303-317.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary\GT\6323061.1
105265-13

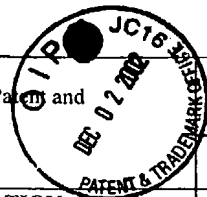
4

RECEIVED

DEC 03 2002

TECH CENTER 1600/2900

FORM PTO-1449

U.S. Department of Commerce Patent and
Trademark OfficeDocket No.
STEM1170-1Serial No.:
09/961,227

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTFiling Date:
September 20, 2001Group Art Unit:
1615 1635

100	CA	Jackson, Bruce A. et al., "Effects of transforming Growth Factor β and Interleukin-1 β on Expression of Cyclooxygenase 1 and 2 and Phospholipase A ₂ mRNA In Lung Fibroblasts and Endothelial Cells in Culture," <i>Biochemical and Biophysical Research Communications</i> , Vol. 197, No. 3, December 30, 1993, pgs. 1465-1474.
	CB	Jayaraman, Gurunathan et al., "Conformational Studies of a Synthetic Cyclic Decapeptide Fragment of Rat Transforming Growth Factor- α ," <i>Int. J. Peptide Protein Res.</i> , Vol. 46, 1995, pgs. 88-96.
	CC	Jones, M.K. et al., "Gastrointestinal Mucosal Regeneration: Role of Growth Factors," <i>Frontiers in Bioscience</i> , Vol. 4, March 15, 1999, pgs. d303-309.
	CD	Kheradmand, Farrah et al., "Transforming Growth Factor- α Enhances Alveolar Epithelial cell Repair In a New In Vitro Model," <i>Am. J. Physiol.</i> , Vol. 267, 1994, pgs. L728-L738.
	CE	Kobayashi, Kenzo et al., "The Mechanisms of Gastrointestinal Mucosal Injury and Repair," <i>Japanese Journal of Clinica</i> , Vol. 56, No. 9, September 15, 1998, pgs. 7 (2215)-14 (2222) (English abstract).
	CF	Konturek, Peter Ch. Et al., "Epidermal Growth Factor and Transforming Growth Factor- α : Role In Protection and Healing of Gastric Mucosal Lesions," <i>European Journal of Gastroenterology & Hepatology</i> , Vol. 7, 1995, pgs. 933-938.
	CG	Konturek, S.J. et al., "Transforming Growth Factor Alpha and Epidermal Growth Factor In Protection and Healing of Gastric Mucosal Injury," <i>Scand. J. Gastroenterol.</i> , Vol. 27, 1992, pgs. 649-655.
	CH	Kornblum, Harley I. et al., "Prenatal Ontogeny of the Epidermal Growth Factor Receptor and Its Ligand, Transforming Growth Factor Alpha, in the Rat Brain," <i>The Journal of Comparative Neurology</i> , Vol. 380, 1997, pgs. 243-261.
	CI	Kudlow, Jeffrey E. et al., "Inability of Anti-Epidermal Growth Factor Receptor Monoclonal Antibody to Block "Autocrine" Growth Stimulation in Transforming Growth Factor-Secreting Melanoma Cells," <i>The Journal of Biological Chemistry</i> , Vol. 259, No. 19, October 10, 1984, pgs. 11895-11900.
100	CJ	Lefebvre, Ph. P. et al., "Regeneration of the Neurosensory Structures in the Mammalian Inner Ear," <i>Acta. Oto-rhino-laryngologica belg.</i> , Vol. 51, 1997, pgs. 1-10.

EXAMINER

DATE CONSIDERED

12/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary/GT6323061.1
105265-13

5

RECEIVED

DEC 03 2002

TECH CENTER 1600/2900

FORM PTO-1449

U.S. Department of Commerce Patent and
Trademark OfficeDocket No.
STEM1170-1Serial No.:
09/961,227

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTFiling Date:
September 20, 2001Group Art Unit:
Tot 1433

PK	CK	Leong, Hoyee et al., "Cytostatic Effects of 3,3'-Diindolylmethane In Human Endometrial Cancer Cells Result from an Estrogen Receptor-Mediated Increase in Transforming Growth Factor- α Expression," <i>Carcinogenesis</i> , Vol. 22, No. 11, 2001, pgs. 1809-1817.
	CL	Liu, Dan et al., "TGF- α Can Act as Morphogen and/or Mitogen in a Colon-Cancer Cell Line," <i>Int. J. Cancer</i> , Vol. 56, 1994, pgs. 603-608.
	CM	Liu, Mark C. et al., "Allergy, Rhinitis, other Respiratory Diseases: Effects of Prednisone On the Cellular Responses and Release of Cytokines and Mediators After Segmental Allergen Challenge of Asthmatic Subjects," <i>Journal of Allergy and Clinical Immunology</i> , Vol. 108, No. 1, July 2001, 16 pages.
	CN	Liu, Ming et al., "Immunohistochemical Study of Transforming Growth Factor-Alpha in Human Lung Cancers," <i>Tumor Biol.</i> , Vol. 13, 1992, pgs. 294-298.
	CO	Lord, Bl. Et al., "Kinetics of Neutrophil Production in Normal and Neutropenic Animals During the Response to Filgrastim (r-metHu G-CSF) Filgrastim SD/01 (PEG-r-metHu G-CSF)," <i>Clin. Cancer Res.</i> , Vol. 7 July 2001, pgs. 2085-2090.
	CP	Marquardt and Todaro, "Purification and Primary Structure of a Polypeptide with Multiplication-Stimulating Activity from Rat Liver Cell Cultures," <i>The Journal of Biological Chemistry</i> , Vol. 256, No. 13, July 10, 1981, pgs. 6859-6865.
	CQ	Marquardt, Hans et al., "Transforming Growth Factors Produced By Retrovirus-Transformed Rodent Fibroblasts and Human Melanoma Cells: Amino Acid Sequence Homology with Epidermal Growth Factor," <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 80, August 1983, pgs. 4684-4688.
	CR	Miettinen, Paivi J., "Transforming Growth Factor- α and Epidermal Growth Factor Expression in Human Fetal Gastrointestinal Tract," <i>Pediatric Research</i> , Vol. 33, No. 5, 1993, pgs. 481-486.
PM	CS	Mogi, Makio et al., "Interleuken-1 β , Interleukin-6, Epidermal Growth Factor and Transforming Growth Factor- α are Elevated in the Brain from Parkinsonian Patients," <i>Neuroscience Letters</i> , Vol. 180, 1994, pgs. 147-150.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary/GT6323061.1
105265-13

6

RECEIVED

DEC 08 2002

TECH CENTER 1600/2900

FORM PTO-1449

U.S. Department of Commerce Patent and
Trademark OfficeDocket No.
STEM1170-1Serial No.:
09/961,227

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTFiling Date:
September 20, 2001Group Art Unit:
1615 *1631*

<i>RV</i>	CT	Nestor, Jr., John J. et al., "A Synthetic Fragment of Rat Transforming Growth Factor α with receptor Binding and Antigenic Properties," <i>Biochemical and Biophysical Research Communications</i> , Vol. 129, No. 1, May 31, 1985, pgs. 226-232.
	CU	Ozanne, Brad et al., "Kirsten Murine Sarcoma Virus transformed Cell Lines and a Spontaneously Transformed rat Cell-Line Produce Transforming Factors," <i>Journal of Cellular Physiology</i> , Vol. 105, 1980, pgs. 163-180.
	CV	Reid, S. et al., "Radial Migration of Subependymal Cells in the Adult Rodent Forebrain," <i>Society for Neuroscience</i> , Vol. 22, 1996, pg. 1956 (Abstract).
	CW	Rimaniol, Anne-Cecile et al., "Biphasic Transforming Growth Factor- β Production Flanking the Pro-Inflammatory Cytokine Response in Cerebral Trauma," <i>NeuroReport</i> , Vol. 7, 1995, pgs. 133-136.
	CX	Romano, Marco et al., "Transforming Growth Factor α Protection Against Drug-Induced Injury to the Rat Gastric Mucosa In Vivo," <i>J. Clin. Invest.</i> , Vol. 90, 1992, pgs. 2409-2421.
	CY	Rudinger, J., "Characteristics of the Amino Acids as Componentes of a Peptide Hormone Sequence," <i>Biological Council: Peptide Hormones</i> , June 1976, pgs. 1-7.
	CZ	Sagar, S.M. et al., "Rapid Communication: Epidermal Growth Factor and Transforming Growth Factor α Induce c-fos Gene Expression in Retinal Muller Cells In Vivo," <i>Journal of Neuroscience Research</i> , Vol. 29, 1991, pgs. 549-559.
	DA	Sasada, Reiko et al., "Cloning and Expression of cDNA Encoding Human Betacellulin, A New Member of the EGF Family," <i>Biochemical and Biophysical Research Communications</i> , Vol. 190, No. 3, 1993, pgs. 1173-1179.
	DB	Scheiman, James M. et al., "Transforming Growth Factor-Alpha (TGF- α) Levels in Human Proximal Gastrointestinal Epithelium: Effects of Mucosal Injury and Acid Inhibition," <i>Digestive Diseases and Sciences</i> , Vol. 42, No. 2, February 1997, pgs. 333-341.
	DC	Schultz and Twardzik, "Assessment of Biological Activity of Synthetic Fragments of transforming Growth Factor α ," <i>Methods In Enzymology</i> , Vol. 198, 1991, pgs. 200-213.
<i>DD</i>	DD	Shing, Y. et al., "Betacellulin: A Mitogen from Pancreatic β Cell Tumors," <i>Science</i> , Vol. 259, March 12, 1993, pgs. 1604-1607.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary/GT/6323061.1
105265-13

7

RECEIVED

DEC 03 2002

TECH CENTER 1600/2900

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. STEM1170-1	Serial No.: 09/961,227
	Applicants: Upshall et al.	
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: September 20, 2001	Group Art Unit: 1615 1625

DE	Skolnick and Fetrow, "From Genes to protein Structure and Function: Novel Applications of Computational Approaches in the Genomic Era," <i>TIBTECH</i> , Vol. 18, January 2000, pgs. 34-38.
DF	Song, Si young et al., "Expansion of Pdx1-Expressing Pancreatic Epithelium and Islet Neogenesis in Transgenic Mice Overexpressing Transforming Growth Factor α ," <i>Gastroenterology</i> , Vol. 117, 1999, pgs. 1416-1426.
DG	Sottili, Mauro et al., "Up-Regulation of Transforming Growth Factor α Binding Sites in Experimental Rabbit Colitis," <i>Gastroenterology</i> , Vol. 109, 1995, pgs. 24-31.
DH	Sporn and Todaro, "Autocrine Secretion and Malignant Transformation of Cells," <i>The New England Journal of Medicine</i> , Vol. 303, No. 15, October 9, 1980, pgs. 878-880.
DI	Subauste and Proud, "Effects of Tumor Necrosis Factor- α , Epidermal Growth Factor and Transforming Growth Factor- α on Interleukin-8 Production By, and Human Rhinovirus Replication in, Bronchial Epithelial Cells," <i>International Immunopharmacology</i> , Vol. 1, 2001, pgs. 1229-1234.
DJ	Todaro, George J. et al., "Transforming Growth Factors (TGFs): Properties and Possible Mechanisms of Action," <i>Journal of Supramolecular Structure and Cellular Biochemistry</i> , Vol. 15, 1981, pgs. 287-301.
DK	Twardzik, Daniel R. et al., "Similar Transforming Growth Factors (TGFs) Produced by Cells Transformed by Different Isolates of Feline Sarcoma Virus," <i>Virology</i> , Vol. 124, 1983, pgs. 201-207.
DL	Weickert and Blum, "Striatal TGF- α : Postnatal Developmental Expression and Evidence for a Role in the Proliferation of Subependymal Cells," <i>Developmental Brain Research</i> , Vol. 86, 1995, pgs. 203-216.
DM	Yamamoto, Koji et al., "Recombinant Human Betacellulin Promotes the Neogenesis of β -Cells and Ameliorates Glucose Intolerance in Mice With Diabetes Induced by Selective Alloxan Perfusion," <i>Diabetes</i> , Vol. 49, December 2000, pgs. 2021-2027.
DN	Yang, Xiao-Dong et al., "Development of ABX-EGF, A Fully Human Anti-EGF Receptor Monoclonal Antibody, for Cancer Therapy," <i>Critical Reviews in Oncology/Hematology</i> , Vol. 38, 2001, pgs. 17-23.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary\GT6323061.1
105265-13

8

RECEIVED
DEC 03 2002
TECH CENTER 1600/2900

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. STEM1170-1		Serial No.: 09/961,227
	Applicants: Upshall et al.		
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: September 20, 2001	Group Art Unit: +615 1635	

Pw	DO	Zhang, Maobin et al., "Transforming Growth Factor α and a PC 12-Derived Growth Factor Induce Neurites in PC12 Cells and Enhance the Survival of Embryonic Brain Neurons," <i>Cell Regulation</i> , Vol. 1, June 1990, pgs. 511-521.
----	----	--

RECEIVED

DEC 03 2002

TECH CENTER 1600/2900

EXAMINER Bn d	DATE CONSIDERED 12/12/03
------------------	-----------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary\GT\6323061.1
105265-13

FORM PTO-1449
U.S. Department of Commerce Patent and
Trademark Office

Docket No.
STEM1170-1

Serial No.:
09/961,227 #6/I.D.S.

RECEIVED
JUL 17 2002

Applicants: Upshall et al.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANT

Filing Date:
9/20/2001

Group Art Un
4615 1635

TECH CENTER 1600/2901

JUL 15 2002

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
BW	AA	6,037,357	03/14/2000	Ming-Kuan Hu			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

DV	AB	Kihara and Pastan, "Small Chimeric Toxins Containing Only Transforming Growth Factor α and Domain III of <i>Pseudomonas</i> Exotoxin With Good Antitumor Activity in Mice," <i>Cancer Research</i> , Vol. 54, October 1, 1994, pgs. 5154-5159.
BW	AC	Phillips et al., "Transforming Growth Factor α - <i>Pseudomonas</i> Exotoxin Fusion Protein (TGF- α -PE38) Treatment of Subcutaneous and Intracranial Human Glioma and Medulloblastoma Xenografts in Athymic Mice," <i>Cancer Research</i> , Vol. 54, February 15, 1994, pgs. 1008-1015.

COPY OF PAPERS
ORIGINALLY FILED

EXAMINER

Bruce A. Hen

DATE CONSIDERED

10/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary\GT6301749.1
105265-13